

1 **Daily Travel and CO<sub>2</sub> Emissions from Passenger Transport:**  
2 **A Comparison of Germany and the United States**

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15  
16 Word count text, abstract, cover page: 6,418  
17 Number of tables: 2  
18 Revised version based on reviewer comments.

19  
20 *Note 1: This research has been supported by the American Institute of Contemporary German*  
21 *Studies (AICGS).*

22  
23 *Note 2: A shortened blog version of this paper is available on the AICGS website. That version*  
24 *was not peer-reviewed.*  
25

26 **ABSTRACT**

27 *Federal, state, and local governments in the USA and Germany have the goal to reduce*  
28 *petroleum use and associated Greenhouse Gas (GHG) emissions from ground passenger*  
29 *transport. This article first compares trends of CO<sub>2</sub> emissions from passenger transport in*  
30 *Germany and the USA since 1990. Germany reduced CO<sub>2</sub> emissions from passenger transport at*  
31 *a faster rate than the USA—even controlling for population growth, economic activity, and*  
32 *travel demand. Moreover, for all indicators CO<sub>2</sub> emissions from transport were much higher in*  
33 *the USA than in Germany: 11.7 times greater for total CO<sub>2</sub> emissions, 3.1 times per capita, 2.1*  
34 *times per passenger kilometer, and 2.4 times per unit of Gross Domestic Product (GDP). Next*  
35 *the paper compares US and German policies that can help decrease CO<sub>2</sub> emissions from*  
36 *passenger transport through improvements in technology including fuel economy and CO<sub>2</sub>*  
37 *tailpipe emission standards, vehicle registration fees and taxes, incentive programs for the*  
38 *purchase of fuel efficient cars, and biofuel standards. Lastly, the paper briefly highlights policies*  
39 *in Germany and the USA that shape the relative attractiveness of driving, public transport,*  
40 *walking, and cycling. The analysis concludes with policy lessons for both countries.*

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45 **1. INTRODUCTION AND OVERVIEW**

46 Federal, state, and local governments in the USA and Germany have the goal to reduce  
47 petroleum use and associated Greenhouse Gas (GHG) emissions from transport. In 2010, the  
48 transport sector was responsible for 20% of GHG emissions in Germany compared to 31% in the  
49 USA [1, 2]. In both countries the vast majority (~95%) of energy for transport came from  
50 petroleum and Carbon Dioxide (CO<sub>2</sub>) accounted for about 95% of GHG emissions from  
51 transport [1, 2].

52 Automobiles, light trucks, and public transport were responsible for roughly two-thirds of  
53 transport GHG emissions in each country—accounting for 13.5% of total CO<sub>2</sub> emissions in  
54 Germany and 22.7% in the USA—[1, 2]. In 2010, annual per-capita CO<sub>2</sub> emissions from ground  
55 passenger transport in the USA were three times greater than in Germany: 3,800 vs.1,200kg [1,  
56 2]. The difference for ground passenger transport between the two countries is larger than for  
57 annual per capita CO<sub>2</sub> emissions from fuel combustion for the countries as a whole (17,000 vs.  
58 9,200kg) as well as for other sectors (electricity and heat: 7,100 USA vs. 3,800 Germany;  
59 industry: 2,600 USA vs. 1,500 Germany; residential: 1,000 USA vs. 1,400 Germany; other: 900  
60 USA vs. 700 Germany). Even adjusting for economic activity, CO<sub>2</sub> emissions from passenger  
61 transport per unit of gross domestic product (GDP) were 2.4 times greater in the USA than in  
62 Germany.

63 Tackling emissions from ground passenger transport has proven difficult, because  
64 improvements in technological efficiency of cars and fuels can be off-set by heavier vehicles,  
65 more powerful engines, and longer travel distances (the so-called ‘rebound effect’) [3].  
66 Compared to the energy and industry sectors, passenger transport emissions are more difficult to  
67 regulate, because travel behavior depends on individual decisions about residential location,  
68 vehicle ownership, transport mode choice, number of trips, and travel distance [4, 5].

69 This article first compares trends of CO<sub>2</sub> emissions from passenger transport in Germany  
70 and the USA since 1990. Statistics reported in this section refer to ‘CO<sub>2</sub> equivalent’ emissions—a  
71 measure that also accounts for other GHGs, such as CH<sub>4</sub> and N<sub>2</sub>O. Next the paper discusses  
72 policies that can help decrease CO<sub>2</sub> emissions from passenger transport through technology and  
73 changes in travel demand. The analysis concludes with policy lessons for both countries.

74

### 75 *Many Similarities between Germany and the USA*

76 Germany and the USA present many similarities that make a comparison of CO<sub>2</sub>  
77 emissions from transport and related policies meaningful [6]. Both are western democracies with  
78 market economies, a high standard of living, and federal systems of government in which the  
79 interaction between federal, state, and local governments shapes transport policies [6]. Both  
80 countries have large networks of limited access highways, a similar share of licensed drivers  
81 (70%) in the population, and an important automobile industry [7]. In both countries most  
82 suburban development occurred after WWII during periods of rapid motorization. In Germany  
83 and the USA the automobile is an important status symbol [8, 9]. Both countries have among the  
84 highest motorization rates in the world. However, compared to Germans, Americans own 30%  
85 more vehicles: 766 vs. 585 cars and light trucks per 1,000 inhabitants [10, 11].

86

### 87 **2. Trend in CO<sub>2</sub> Emissions from Passenger Transport in Germany and the USA**

88 Germany was more successful than the USA in reducing CO<sub>2</sub> emissions from passenger  
89 transport over the last two decades (see Table 1). Between 1990 and 2010, total ground  
90 passenger transport CO<sub>2</sub> emissions in Germany declined by 15% compared to a 12% increase in  
91 the USA. CO<sub>2</sub> emissions in the USA increased sharply between 1990 and 2005 (+21%) and then  
92 fell between 2005 and 2010. The drop in CO<sub>2</sub> emissions between 2005 and 2010 is likely related

93 to the more severe economic crisis in the USA compared to Germany, higher volatility of fuel  
94 prices in America because of a lower share of taxes in the price of gasoline in the USA, and a  
95 decrease in driving in the USA. For example, the U.S. Department of Transportation [11] reports  
96 a sharp drop of 15% in passenger kilometers of car travel between 2006 and 2009. However,  
97 even in 2010, passenger transport CO<sub>2</sub> emissions in the USA were 11.7 times greater than in  
98 Germany—up from a ratio of 8.9 in 1990. This increase is partially explained by faster  
99 population growth in the USA than in Germany.

100         Adjusting for population size, per-capita CO<sub>2</sub> emissions increased in the USA between  
101 1990 and 2005, but declined between 2005 and 2010—resulting in 9% lower CO<sub>2</sub> emissions per  
102 capita in 2010 compared to 1990. In Germany, per capita CO<sub>2</sub> emissions declined by 17%  
103 between 1990 and 2010. In 1990 emissions per capita were 2.8 times greater in the USA than in  
104 Germany. By 2010, this ratio had increased to 3.1—reflecting Germany’s stronger decrease in  
105 CO<sub>2</sub> emission during that time frame.

106         Between 1990 and 2010, CO<sub>2</sub> emissions per kilometer traveled declined by 20% in  
107 Germany but only 3% in the USA—reflecting larger gains in vehicle fuel efficiency as well as  
108 increases in public transport use and cycling in Germany during this time. Compared to  
109 economic activity, measured in inflation-adjusted \$ of constant GDP, between 1990 and 2010  
110 Germany decreased its CO<sub>2</sub> emissions from passenger transport at a faster rate than the USA (-  
111 36% vs. -31%).

112         In summary, between 1990 and 2010 Germany reduced CO<sub>2</sub> emissions from passenger  
113 transport at a faster rate than the USA—even controlling for population growth, economic  
114 activity, and travel demand. Moreover, for all indicators CO<sub>2</sub> emissions from transport were

115 much higher in the USA than in Germany: 11.7 times for total CO<sub>2</sub> emissions, 3.1 times per  
 116 capita, 2.1 times per passenger kilometer, and 2.4 times per unit of GDP.

	1990	1995	2000	2005	2009/2010	% Change 1990-2000	%Change 1990-2005	% Change 1990-2010
<b>Total CO<sub>2</sub> Equivalent (Tg)</b>								
Germany	117	119	115	107	100	-2	-8	-15
USA	1,039	1,116	1,216	1,259	1,165	17	21	12
<i>Ratio USA/Germany</i>	<i>8.9</i>	<i>9.4</i>	<i>10.6</i>	<i>11.7</i>	<i>11.7</i>			
<b>CO<sub>2</sub> Equivalent per Capita (Kg)</b>								
Germany	1,470	1,455	1,399	1,303	1,217	-5	-11	-17
USA	4,166	4,246	4,309	4,255	3,793	3	2	-9
<i>Ratio USA/Germany</i>	<i>2.8</i>	<i>2.9</i>	<i>3.1</i>	<i>3.3</i>	<i>3.1</i>			
<b>CO<sub>2</sub> Equivalent per Passenger km (G)</b>								
Germany	134	121	119	113	107	-11	-16	-20
USA	214	228	217	214	208	1	0	-3
<i>Ratio USA/Germany</i>	<i>1.6</i>	<i>1.9</i>	<i>1.8</i>	<i>1.9</i>	<i>1.9</i>			
<b>CO<sub>2</sub> Equivalent per Constant \$1,000 GDP (using PPP) (Kg)</b>								
Germany	57.0	52.4	46.2	41.8	36.4	-19	-27	-36
USA	129.4	122.7	108.4	99.7	89.0	-16	-23	-31
<i>Ratio USA/Germany</i>	<i>2.3</i>	<i>2.3</i>	<i>2.3</i>	<i>2.4</i>	<i>2.4</i>			

117  
 118 **TABLE 1. Trend in CO<sub>2</sub> Emissions from Ground Passenger Transport in Germany and**  
 119 **the USA, 1990-2010** Sources: [1, 2, 12]. Note: CO<sub>2</sub> equivalent emissions are based on national  
 120 fuel consumption estimates. The data do not capture 'gray imports' due to refueling abroad.

121  
 122 **2.1 Federal GHG Reduction Goals for Transport**

123 Since ratifying the Kyoto Protocol Germany has set national targets for reducing GHG  
 124 emissions. Between 1990 and 2010 Germany reduced its total GHG emissions by 22% and has  
 125 the goal to achieve a 40% reduction relative to 1990 by 2020 [13]. Between 1990 and 2010,  
 126 emissions from transport declined at a lower rate than those for industry and energy sectors.  
 127 Achieving the overall 40% target by 2020, however, requires the transport sector to reduce its  
 128 annual emissions by 20-25% between 2005 and 2020 [13].

129 There is no explicit federal policy to reduce GHGs in the USA. However, since 2009 the  
 130 Environmental Protection Agency (EPA) has regulated GHG emissions as air pollutants that  
 131 endanger public health and welfare [14]. Moreover, 23 States had GHG reduction targets and 37  
 132 States had climate action plans in 2012. GHG reduction targets vary by state. For example,  
 133 California's target is to achieve 1990 emission levels by 2020 [15].

134 In both countries federal governments have developed a number of policies that directly or  
135 indirectly reduce CO<sub>2</sub> emissions from automobile transport including fuel economy and CO<sub>2</sub>  
136 tailpipe emission standards, vehicle registration fees and taxes, incentive programs for the  
137 purchase of fuel efficient cars, biofuel standards, and gasoline taxes. Federal governments also  
138 support local and state policies that can help change travel demand by promoting public  
139 transport, walking, and cycling, as well as land-use policies that keep trip distances short.

140

### 141 **3. IMPROVED TECHNOLOGY**

#### 142 *3.1 Fuel Efficiency and CO<sub>2</sub> Emission Standards*

143 There are no fuel efficiency or CO<sub>2</sub> emission standards on the national level in Germany. But  
144 Germany is subject to EU regulations. Both, the EU and the USA attempt to reduce GHG  
145 emissions from transport through vehicle fuel efficiency and/or CO<sub>2</sub> emissions standards [14,  
146 16]. The two standards are treated interchangeably here, because the burning of fossil fuels is  
147 closely related to CO<sub>2</sub> emissions. In 2010, the German automobile and light truck vehicle fleet  
148 was 55% more fuel efficient than the US light duty vehicle fleet (35 vs. 23 mpg or 7.5 vs. 11.2 l  
149 per 100km of travel). Fuel efficiency data presented in this paper rely on the International  
150 Council for Clean Transportation's (ICCT) conversion tool to allow comparison of the U.S.  
151 Corporate Average Fuel Economy (CAFE) and the New European Driving Cycle (NEDC)  
152 testing cycles. Miles per gallon (mpg) values presented in this paper are based on the U.S. CAFE  
153 testing cycle. Liters per 100 kilometers (l/100km) values are based on the NEDC.

154 In 1975 the USA implemented the world's first fuel economy standards for cars and light  
155 trucks called Corporate Average Fuel Efficiency (CAFE) standards [17]. Between 1980 and  
156 1991, the fuel efficiency of the U.S. light duty vehicle fleet increased from 16mpg to 21mpg (17

157 to 13 l/100km). Progress has been slower since then, reaching a fleet average of 24mpg (11  
158 l/100km) in 2009. Decreasing gains in fuel efficiency are partially explained by the failure to  
159 raise CAFE standards for new passenger cars for nearly two decades after reaching 27.5mpg (9.7  
160 l/100km) in 1985. Moreover, CAFE set lower fuel economy standards (20.7mpg or 13.2 l/100km)  
161 for increasingly popular light trucks [18]. In the mid-1970s, when CAFE standards were  
162 developed, light trucks only accounted for a small share of the vehicle fleet and were mainly  
163 used by businesses or for agriculture. However, since then minivans, sport-utility vehicles  
164 (SUV), and pick-up trucks, all classified under CAFE as light trucks, became increasingly  
165 popular as private vehicles. For example, in 2002 new retail vehicle sales for light trucks  
166 surpassed those of passenger cars [18]. A greater share of light trucks with lower fuel economy  
167 depressed the overall fuel economy of the U.S. passenger vehicle fleet.

168 In response to the Energy Independence and Security Act (EISA) 2007 fuel economy  
169 standards were revised. The new standards apply to light duty vehicles—including both  
170 passenger cars and light trucks (< 8,500 pounds) [19, 20]. The standards vary by vehicle size and  
171 a formula based on vehicle size requires smaller vehicles to achieve higher fuel efficiency than  
172 larger vehicles. In the US system vehicle size is assessed as the product of track width and  
173 wheelbase (i.e. distance between wheels on the same axle and distance between the front and  
174 rear axles). Thus manufacturers of smaller cars face stricter standards and producers of larger  
175 cars are subject to comparatively less strict standards. New light duty vehicles are set to average  
176 30mpg by 2015 and 39mpg by 2020 (8.8 l/100km by 2015 and 6.6 l/100km by 2020) [21].

177 Because of the close connection of fuel efficiency and CO<sub>2</sub> emissions, the new standards  
178 were developed in collaboration by NHTSA and EPA (as well as the State of California and  
179 major car manufacturers). In 2007, EPA gained the authority to regulate GHG emissions under

180 the Clean Air Act (*Massachusetts v. EPA*) and determined that GHGs endanger public health and  
181 welfare [20]. The mpg standards for 2015 and 2020 translate to 181 and 144 g CO<sub>2</sub>/km for new  
182 light duty vehicles (based on the NEDC).

183 Historically, there were no fuel efficiency standards on the national level in Germany.  
184 Higher fuel efficiency was mostly explained by higher taxes on fuel and resulting increased  
185 demand for more fuel efficient cars. However, in the late 1990s and early 2000s, car  
186 manufacturers entered into a voluntary agreement with the European Commission (EC)  
187 promising to reduce average CO<sub>2</sub> emissions of new vehicles to 140g CO<sub>2</sub>/km over ten years [22].  
188 There were no fines for not meeting the standard in the voluntary agreement, but there was the  
189 looming threat of EC regulation. In the years following the voluntary agreement most  
190 improvements in fuel efficiency in Germany were due to an increasing share of diesel vehicles in  
191 the vehicle fleet (a process termed *dieselization*). Diesel engines are more efficient than gasoline  
192 powered engines, but diesel fuel has higher energy and carbon contents per unit. Overall,  
193 improvements in reductions of CO<sub>2</sub> emissions under this voluntary agreement were considered  
194 insufficient reaching only 160g CO<sub>2</sub>/km in 2006.

195 As a result, since 2009, German passenger cars have become subject to EU CO<sub>2</sub> emission  
196 standards requiring manufacturers to achieve an average of 130 g CO<sub>2</sub>/km by 2015 and 95g  
197 CO<sub>2</sub>/km (45.5 and 60.6 mpg) by 2020 [22, 23]. Specifics about the standards are still under  
198 negotiation, partly because Germany has pushed to delay the 95g CO<sub>2</sub>/km goal from 2020 to  
199 2024 [24]. Similar to the revised standards in the USA, EU standards will vary by vehicle size. In  
200 contrast to the USA, where vehicle size is determined by track width and wheelbase, the EU  
201 chose vehicle weight as a guide to distinguish between smaller/lighter and larger/heavier  
202 vehicles. The rate of differentiation between lighter and heavier vehicles, based on the slope of

203 the so-called *linear limit value curve*, has been part of intense negotiations between producers of  
204 heavier cars (including many cars from Germany) and manufacturers of lighter cars.  
205 Manufacturers of heavier vehicles have argued for stricter standards for smaller vehicles and vice  
206 versa.

207 Under these rules, manufacturers have to achieve the required standard for all cars sold  
208 per year or face a fine of €5 (US \$7) for each vehicle sold during the year for the first gram  
209 above the standard, €15 (US \$20) for the second gram, €25 (US \$38) for the third gram and €95  
210 (US \$124) for each additional gram. However, car manufacturers are allowed to join forces and  
211 have their vehicle fleets evaluated jointly. Thus, a manufacturer that fails to meet the standard  
212 could partner with a manufacturer that exceeds the standard. Jointly, both manufacturers could  
213 then meet the standard. Additionally, manufacturers can also gain credits for low emission  
214 vehicles with <50 g CO<sub>2</sub>/km [16, 22]. The EU sets different goals for light commercial vehicles  
215 that account for about 12% of the European vehicle fleet and their target rate is 175 g CO<sub>2</sub>/km by  
216 2020 [16, 23].

217 Compared to the USA, proposed EU standards for 2015 (130 vs. 181g) and 2020 (95 vs.  
218 144g) are more stringent for passenger cars and non-commercial light trucks combined.  
219 However, the USA proposes to further reduce CO<sub>2</sub> emissions per km to 107g by 2025 (based on  
220 NEDC). US goals for 2025 can be decomposed into standards for light trucks (137g) and  
221 passenger cars (93g). Thus, if Germany were successful in delaying the EU 2020 goals until  
222 2024, U.S. standards for passenger cars in 2025 would be more stringent than standards in the  
223 EU (93 vs 95g) [24].

224 Both EU and U.S. CO<sub>2</sub> standards differentiate by vehicle size. The design of US  
225 standards possibly offers greater incentives to increase fuel efficiency. Under the EU standard

226 producers of more fuel efficient and lighter vehicles are subject to stricter standards—even if the  
227 floor area of the car is the same as for a heavier vehicle. In the U.S. a lighter vehicle (with the  
228 same floor area) can help improve a manufacturers overall fuel efficiency/CO<sub>2</sub> emissions rating.  
229 Thus, the incentives to produce more fuel efficient larger vehicles (with large track width and  
230 wheel base) in the USA are greater than in the EU, where those cars become subject to tougher  
231 fuel economy standards.

232

### 233 *3.2 Incentives to Lower Pollution from Cars*

234 Governments in both countries provide incentives for less polluting cars and fuels. For  
235 example, in 2009, Germany changed its formula to calculate annual vehicle registration fees for  
236 new cars to include CO<sub>2</sub> emissions besides engine size and fuel type (Diesel/gasoline) of the  
237 vehicle. The CO<sub>2</sub> share of the annual registration fee is small and is calculated as € (US \$ 2.60)  
238 for each gram of CO<sub>2</sub> emissions above a certain emissions threshold: 120g for cars built in 2009,  
239 110g in 2012, and 95g in 2014. Electric vehicles are exempt from any annual registration fees for  
240 5 years [25]. However, studies suggest that the CO<sub>2</sub> element of the registration fee is too small to  
241 significantly change customer preferences toward less polluting cars [25].

242 Vehicle registration fees in the USA vary by state and some local jurisdictions  
243 additionally assess annual personal property taxes [26]. However, the federal government has  
244 offered periodic tax incentives for the purchase of alternative fuel vehicles, certain cars with  
245 diesel engines, hybrids, plug in hybrids, and electric vehicles. Incentives and program structures  
246 vary, but can be as high as \$7,500 in federal tax credits for electric vehicles and plug-in hybrids.  
247 In 2012, all but 12 states offered additional incentive programs for hybrid electric vehicles and  
248 plug-in hybrids [18].

249           During the economic crisis of 2008/2009, federal governments in Germany and the USA  
250 sought to support their automobile industries through monetary incentives for new car purchases  
251 if buyers turned-in their old vehicles (better known as ‘Cash for Clunkers’). In both countries  
252 older less fuel efficient vehicles were replaced with more fuel efficient newer vehicles with  
253 lower CO<sub>2</sub> emissions per km. But the volume of new vehicle sales was too small to significantly  
254 reduce CO<sub>2</sub> emissions from passenger transport [27, 28].

255           By its design the U.S. program provided more incentives to increase fuel efficiency than  
256 the program in Germany. In 2009, Germany offered a €2,500 (US \$3,300) incentive towards the  
257 purchase of a new car for individuals who scrapped vehicles that were a minimum of 9 years old.  
258 Even though the program was labeled as ‘environmental allowance’ (Umweltprämie) there was  
259 no requirement about fuel efficiency or CO<sub>2</sub> emission standards for the new cars. An analysis  
260 found that newly purchased cars averaged 20% lower CO<sub>2</sub> emissions per km than scrapped cars.  
261 Through this program 1.9 million individuals received a total of about €5 billion (US \$7.5  
262 billion) in subsidy [28].

263           In July 2009, the USA implemented a similar program, called ‘Car Allowance Rebate  
264 System’ (CARS), offering \$3,500-\$4,500 if buyers of a new car scrapped an old vehicle. In  
265 contrast to the German program that was only based on the age of scrapped vehicles, the U.S.  
266 program required old vehicles to have fuel economy lower than 18mpg and new cars to achieve  
267 at least 22mpg [27]. The exact subsidy amount increased with the differential in fuel efficiency  
268 between the scrapped car and the new vehicle. Average fuel efficiency of newly purchased  
269 vehicles was 58% greater than for scrapped cars (24.9 vs. 15.8mpg). Through this program  
270 700,000 individuals received a total of about \$3 billion in subsidy [27].

271 In both countries new vehicles purchased under these programs were more fuel efficient  
272 and had lower CO<sub>2</sub> emissions per km than the vehicles traded-in, but the volume of new vehicle  
273 sales under these programs was too small to significantly reduce CO<sub>2</sub> emissions from passenger  
274 transport [27, 28]. Moreover, critics argue that the programs may have resulted in negative  
275 environmental effects when considering the full life-cycle cost and not just on road-fuel  
276 economy of cars, as well as higher levels of use in newer cars (e.g. miles driven per year).

277 Both countries support the development of alternative fuels and alternative fuel vehicles  
278 including biodiesel, electricity, hydrogen, natural gas, and ethanol. The USA has a longer history  
279 of experimenting with and using alternative fuels [26]. For example, a 10% share of ethanol in  
280 gasoline (E-10) is common in the USA. By contrast in 2011, the German federal government  
281 experienced a public relations disaster and public resistance when attempting to increase the  
282 ethanol content of gasoline from 5% to 10%. Many Germans believed that E-10 would destroy  
283 their vehicles. Mirroring a media campaign by the car industry in Germany against E-10,  
284 American AAA issued a similar warning for new E-15 standards in the USA [29].

285

#### 286 **4. TRAVEL BEHAVIOR AND FEDERAL POLICIES**

287 More CO<sub>2</sub> emissions from passenger transport in the USA can be partly explained by the  
288 lower fuel efficiency of the U.S. vehicle fleet discussed above. However, more trips by  
289 automobile and longer travel distances are important factors as well. The automobile accounts  
290 for a much higher share of trips in the USA than in Germany (86% vs. 58% of daily trips). By  
291 contrast, compared to Americans, Germans make a 4.5 times higher share of trips by public  
292 transport (9% vs. 2% of trips), are 10 times more likely to ride a bicycle (10% vs. 1% of trips),  
293 and 2.2 times more likely to walk (24% vs. 11% of trips) [30, 31].

294 A recent international comparison shows a correlation between CO<sub>2</sub> emissions from  
295 ground passenger transportation and the share of daily trips made by walking, cycling, and public  
296 transportation [32]. Compared to Western European countries, the USA has the highest annual  
297 CO<sub>2</sub> emissions from ground passenger transport per capita and the lowest share of trips by foot,  
298 bicycle, and public transport (3,800 kg, 14% of trips). CO<sub>2</sub> emissions are lower and the share of  
299 trips by walking, cycling, and public transport are higher in Western European countries, such as  
300 the Netherlands (1,000 kg, 51%), Austria (1,100 kg, 42%), Germany (1,200 kg, 43%), Denmark  
301 (1,200 kg, 41%), Norway (1,300 kg, 36%), or the UK (1,300 kg, 34%) [2, 32, 33]. There are no  
302 recent national travel surveys for all trip purposes for Australia and Canada. The only available  
303 travel data for those countries is the share of trips by walking, cycling, and public transport for  
304 the commute to work. Commutes account for only about 15 to 20% of all trips. However, even  
305 comparing mode shares for the commute to work, annual per capita CO<sub>2</sub> emissions from ground  
306 passenger transport shows higher CO<sub>2</sub> emissions and less walking, cycling, and public transport  
307 use in the USA (3,800 kg, 8% of work commutes by foot, bicycle, and public transport) than in  
308 Australia (2,300 kg, 17%) and Canada (2,900 kg, 18%) [32].

309 Americans not only make a higher share of trips by car, but they also drive longer  
310 distances. Compared to Germans, Americans drive almost twice as many miles per year: 13,500  
311 vs. 6,800 passenger miles of car travel (21,700 vs. 11,000 passenger km). Longer average trip  
312 distances in the USA (9.8 miles/15.7km) than in Germany (7.0 miles/11.2km) do not fully  
313 explain different driving rates. For example, in both countries a similar share of all trips (32% in  
314 Germany and 27% in the USA) is shorter than 1 mile (1.6km). However, Americans drive for  
315 65% of these short trips compared to only 28% of Germans [30, 31].

316 Average population densities are higher in German cities than in the U.S. However, even  
317 controlling for population density Germans are more likely to walk, cycle, and ride public  
318 transport. Americans living in dense, mixed-use areas, and close to public transport are even  
319 more likely to drive than Germans living in lower density areas, with more limited mix of land-  
320 uses, and farther from public transport [34].

321 Public policies at federal, state, and local levels of government help explain differences in  
322 car use. Table 2 provides a short overview of policies towards driving, public transport, walking,  
323 and cycling in Germany and the USA. The text briefly summarizes German federal government  
324 policies that make car use less attractive and help promote walking, cycling, and public transport.  
325 The table and the text below summarize previous research by the author [7, 35, 36].

326

#### 327 **4.1 Gasoline Taxes and Funding for Roads**

328 In 2010, the cost of one liter of gasoline (95 Research Octane Number (RON) unleaded)  
329 was \$1.75 in Germany compared to \$0.74 in the USA [37]. Most of the difference was due to 8-  
330 times higher gas taxes in Germany compared to the USA. In 2010, taxes accounted for 62% of  
331 the retail price of gasoline in Germany compared to only 18% in the USA [37].

332 The difference in gasoline retail price between Germany and the USA has been  
333 increasing. In 1986 gasoline cost about 80% more in Germany than the USA. In 2010, the price  
334 of gas was 2.4 times higher in Germany. This divergence is partly explained by Germany's  
335 environmental tax reform that increased gasoline taxes annually by €0.03 per liter (\$0.14 per  
336 gallon) between 1998 and 2003—totaling €0.15 per liter (\$0.71 per gallon) over 5 years [38].  
337 The tax was designed to curb energy use from transport and to encourage more fuel efficient cars  
338 and less driving. The policy of annual increases expired in 2003, but the five-year

339 implementation of the environmental tax helped boost gas taxes and prices permanently. By  
340 contrast the federal gasoline taxes in the USA have not been raised since 1993.

341 Revenue from highway user taxes and fees in Germany was 2.2 times higher than  
342 government road spending in 2010. By contrast, highway users receive net subsidies in the USA.  
343 In 2009, highway user revenue collected by federal, state, and local governments in the US  
344 covered only 58 percent of highways spending by all levels of government [39]. Moreover, since  
345 2008 the federal Highway Trust Fund, which receives the revenues from the federal gas tax, has  
346 been supplemented with general funds several times.

347 Higher gasoline taxes do not lead to higher household expenditures for transport in  
348 Germany. In fact, US households spend about \$2,500 more per year on transport—with transport  
349 accounting for 17% of household expenditures in the USA compared to less than 15% in  
350 Germany [32, 40].

351 On the local level, most German cities have increased the cost and/or reduced car parking  
352 in city centers and many neighborhood. In the USA the vast majority of automobile trips (95%)  
353 are still subsidized with free car parking [41]. Driving is also slower in German cities. In contrast  
354 to the USA, limited access highways in Germany rarely penetrate cities and city centers. In the  
355 USA, the federal government subsidized the construction of limited access highways in most US  
356 cities with as high as a 90% federal share [42]. The lack of high-speed highways in cities,  
357 combined with widespread traffic calming of residential neighborhoods restricts car travel and  
358 makes it slower in German cities. Most German cities, including large cities like Berlin and  
359 Munich, have traffic calmed over 70% of their road network to speeds of 30km/h (19 mph), and  
360 even walking speed (7km/h or 4mph) for some residential streets [43].

361 Tolling passenger cars for stretches of highways, new added lanes, bridges, and tunnels  
362 has been more common in the USA than in Germany. Trucks are tolled on the German  
363 Autobahn, but there is no charge for passenger cars [44]. Moreover, the German Autobahn  
364 network still has many stretches without speed limits, compared to speed limits between 65 and  
365 75mph on the U.S. interstate system in most states [44].

366

367 **4.2 Policies that Promote Public Transport, Cycling, and Walking as Viable Alternatives to**  
368 **Driving for Daily Travel**

369 Higher costs for driving and more restrictions on car use in German cities help explain  
370 less car travel demand and lower CO<sub>2</sub> emissions from transport in Germany compared to the  
371 USA. Moreover, all levels of government in Germany have implemented policies that help make  
372 walking, cycling, and public transport attractive alternatives to driving (see table 2 for details).  
373 For example, public transport is more attractive in Germany because of higher levels of public  
374 transport service and more ubiquitous access to public transport. In 2009, public transport  
375 service, measured as vehicle kilometers of service per person, was three times greater in  
376 Germany than in the USA (60 vs. 20 vehicle kilometers per person per year). Moreover, 88% of  
377 Germans lived within 1 km of a public transport stop, compared with only 43% of Americans  
378 [45].

379 Public transport use per capita is also higher. Germans make 6.5 times as many public  
380 transport trips per year as Americans (135 vs. 21 trips per person per year) [46]. As shown by a  
381 recent study [46], more attractive public transport in Germany can additionally be explained by  
382 regional integration of public transport services, multi-modal coordination with other modes of  
383 transport, region-wide fare integration across operators, steeply discounted monthly and annual

384 tickets, unified user information systems, real-time information at transit stations and on-board  
385 vehicles, as well as traffic priority for buses and light rail [46].

386 In the USA, most public transport trips are concentrated in large cities with subway  
387 systems and regional rail, such as New York City, Boston, Philadelphia, Washington (DC),  
388 Chicago, and San Francisco [47]. Moreover, public transport service in suburban areas and many  
389 cities typically focuses on commute hours with service going towards downtown in the morning  
390 and away from downtown in the late afternoon. Even though many public systems have made  
391 progress during the last decades, regional integration of timetables and ticketing, steeply  
392 discounted monthly tickets, and real-time passenger information are still rare or non-existent in  
393 most of the USA [48].

394 The German federal government plays a minor role in promoting walking and cycling—  
395 mainly limited to federal traffic laws protecting cyclists and pedestrians and making their safety  
396 an integral part of the German driver’s license test [49]. Most innovations, such as car-free  
397 pedestrian zones, area-wide traffic calming, integrated city-wide bicycling networks, bicycling  
398 training courses for school children, and pedestrian-activated traffic signals were pioneered and  
399 implemented at the local and state level. The German federal government supported these efforts  
400 with technical guidance and flexible funding mechanisms, which allowed municipalities to use  
401 federal funds for non-motorized modes [49].

402 Since the 1990s the U.S. federal government has made an increasing amount of federal  
403 funds eligible for walking and cycling projects on the local and metropolitan level [50, 51].  
404 Some US cities have used this opportunity to promote walking and cycling. However, most US  
405 cities still lack integrated networks of bike paths and lanes [51]. Moreover, many suburban  
406 settlements in the USA do not have sidewalks or crosswalks for pedestrians. Driver’s training in

407 the USA does not emphasize the rights of pedestrians and cyclists. Even though some cities have  
408 made progress, the USA is still less bike and pedestrian friendly than Germany. For example, in  
409 2010, cyclist and pedestrian fatality rates per km cycled or walked were 4 to 5 times greater in  
410 the USA than in Germany [49].

411 Federal involvement in land-use planning in Germany is limited to defining the legal  
412 framework for planning, ensuring consistency of planning techniques, and—in collaboration  
413 with the states—setting broad strategic goals for spatial development, such as sustainability [52].  
414 Municipal governments develop the actual land-use plans and decide where different land uses  
415 are permitted. Local plans in Germany are restricted by regional and state plans—which are  
416 developed with the involvement of lower levels of government. Moreover, land-use plans must  
417 be coordinated with other sectors (e.g. transport) and neighboring jurisdictions [53].

418 By contrast land-use planning in the USA is typically in the domain of municipalities,  
419 rarely coordinated across jurisdictions, and typically not integrated with transport planning.  
420 Additionally, mixed land use and dense development typically require changes to existing zoning  
421 codes in the USA [52]. German land-use planning is more flexible, because ‘residential zones’  
422 allow for doctor’s offices, small shops, restaurants, and multi-family housing. Moreover, in the  
423 USA, areas zoned as ‘single family residential’ ban any mixed land uses or multi-family homes.  
424 German zoning typically applies to small areas (one block or a couple of blocks), while zones in  
425 the USA are typically much larger. Fine-grained zoning in Germany allows for a better mix of  
426 land-uses that results in shorter trip distances [54].

<b>Policies that make car use less attractive in Germany than the USA</b>	
<i>Sales tax for new cars</i>	<b>USA:</b> State sales taxes for new car purchases range from 0% to 8.25% , with an average of 4.9% <b>Germany:</b> 19% in all states
<i>Drivers license &amp; cost</i>	<b>USA:</b> Easy and cheap driver training and licensing, costing about \$100 in most states <b>Germany:</b> Strict and expensive driver training and licensing, costing over \$2,000 per license
<i>Price of gasoline</i>	<b>USA:</b> In 2010: \$0.74 per liter (18% of price is tax) <b>Germany:</b> In 2010: \$1.75 per liter (62% of price is tax)
<i>Road revenues &amp; expenditures</i>	<b>USA:</b> Road user taxes and fees account for 58% of roadway expenditures by all levels of government <b>Germany:</b> Roadway user taxes and fees are 2.2 times higher than roadway expenditures by all levels of government
<i>Traffic calming &amp; speed limits</i>	<b>USA:</b> Few cities have any traffic-calmed neighborhoods; Speed limits on most city streets range from 35 to 45 mph (56 to 72 km/h) <b>Germany:</b> Most residential streets are traffic-calmed at 30km/h or less, with speeds reduced to 7 km/h on some residential streets; General speed limit of 50km/hr (33mph) in cities
<i>Road &amp; parking supply</i>	<b>USA:</b> High-speed motorways and arterials criss-cross cities and suburbs; Municipal zoning codes require high levels of minimum parking <b>Germany:</b> High-speed motorways rarely penetrate into city centers; Most cities have reduced car parking in downtowns and increased parking fees since the 1960s
<b>Policies that make public transport more attractive in Germany than the USA</b>	
<i>Quantity of service</i>	<b>USA:</b> 20 vehicle kilometers of service per capita per year: regional rail & metro: 6km; bus & light rail: 14km <b>Germany:</b> 59 vehicle kilometers of service per capita per year: regional rail & metro: 28km; bus & light rail: 31km
<i>Quality of service</i>	<b>USA:</b> Many systems have modernized their vehicles and stations; Little coordination of services and ticketing across modes and operators <b>Germany:</b> All systems have modernized their vehicles and stations; Full coordination of schedules and routes across modes and operators
<i>User information</i>	<b>USA:</b> Fragmented, incomplete, and often undependable information; Real-time information rare even on trains, almost never on buses (except BRT) <b>Germany:</b> Online information about regional, state-wide, & national routes, timetables, and fares; Real-time information at most rail & some bus stops, and on-board most trains & buses
<i>Discounts</i>	<b>USA:</b> Public transport commuter tax benefits; Discounts for seniors; Slightly discounted monthly tickets for regular commuters; Discounts for off-peak travel provided by some systems <b>Germany:</b> Tax benefit based on daily commute distance; Discounts for children, university students, and seniors; Deeply discounted monthly tickets available to all groups
<i>Region-wide integration</i>	<b>USA:</b> Fares and ticketing rarely integrated across operators and jurisdictions; Regional transport planning authorities in most cities, but with less integration of services than in Germany <b>Germany:</b> Regional public transport authorities integrate fares, ticketing, operations, & financing across operators and jurisdictions; State-wide coordination of schedules, fares, & tickets
<b>Policies that make walking and cycling more attractive in Germany than in the USA</b>	
<i>Federal subsidies</i>	<b>USA:</b> Federal transport funds eligible for walking and cycling projects; special federal funds for non-motorized transport; State DOTs are required to have pedestrian and cycling staff <b>Germany:</b> Earmarked federal funds for improvement of urban pedestrian and cycling facilities; Federal funding for bike paths along highways
<i>Car-free zones</i>	<b>USA:</b> No area wide pedestrian zones; pedestrianized streets exist in some cities, such as Madison (WI), Minneapolis (MN), or Denver (CO) <b>Germany:</b> Most cities have pedestrianized large areas of their downtown that are off-limits for automobiles
<i>Traffic calming</i>	<b>USA:</b> Cities experiment with traffic calming; applications are not as systematic and comprehensive as in German cities <b>Germany:</b> Almost all cities have traffic calmed most residential streets to 19 mph (30 km/h); Certain areas limit cars to walking speed (4 mph / 7 km/h)
<i>Pedestrian facilities</i>	<b>USA:</b> Lack of pedestrian facilities in many developments and along many urban roads; New complete streets policies in many cities consider the needs of all modes <b>Germany:</b> Universal provision of sidewalks in urban areas; Priority for pedestrians in car-free zones in downtowns in almost all cities
<i>Bikeway networks</i>	<b>USA:</b> Only few cities have an integrated network of bicycling facilities <b>Germany:</b> Majority of cities with comprehensive, region-wide integrated networks of separate facilities for cyclists
<i>Traffic education</i>	<b>USA:</b> Voluntary bicycling courses; Rarely any education of drivers, pedestrians and cyclists about rights of pedestrians and cyclists; Safe routes to school in all states with dedicated staff <b>Germany:</b> Safe and effective cycling training is part of school curriculum; Rights of non-motorized modes are part of driver's training and testing
<b>Land-use planning and policies that facilitate more dense and mixed land-uses that facilitate walking, cycling, and public transport use</b>	
<i>Coordination with public</i>	<b>USA:</b> Little coordination of transport with land-use planning, except for some Transit Oriented Developments (TOD) <b>Germany:</b> Strict land-use controls limit low density sprawl and encourage compact development around public transport stops
<i>Planning process</i>	<b>USA:</b> No federal land-use planning; Limited state land-use planning; Uncoordinated, and often conflicting land-use planning by local jurisdictions <b>Germany:</b> Coordination of land-use plans among levels of government and across jurisdictions; Integration of land-use, transport, and environmental planning at all levels of government

427

428 **TABLE 2. Comparison of Key Transport Policies in Germany and the USA that Restrict Car Use and Promote Walking,**  
429 **Cycling, and Public Transport for Daily Travel. Based on:[44, 46, 49]**

## 430       **5. DISCUSSION AND CONCLUSIONS**

431 CO<sub>2</sub> emissions from transport are much higher in the USA than in Germany, even when  
432 controlling for population, economic activity, and travel distance. Between 1990 and 2010,  
433 Germany has reduced CO<sub>2</sub> emissions from ground passenger transport. Passenger transport CO<sub>2</sub>  
434 emissions per capita and per km of travel also declined in the USA, but only between 2005 and  
435 2010 during the economic crisis and in the face of volatile fuel prices. In both countries  
436 regulations attempt to improve the fuel efficiency of the vehicle fleet using CO<sub>2</sub> tailpipe emission  
437 standards and incentives for less polluting vehicles and fuels.

438           The U.S. experience shows that fuel efficiency (and by extension CO<sub>2</sub> emission)  
439 standards can help boost fuel efficiency of new vehicles, but it also highlights the difficulty of  
440 adapting the standards to changing technology, politics, and societal preferences. Between the  
441 mid/late 1980s and the mid/late 2000s, it was politically difficult to significantly increase fuel  
442 efficiency standards in the USA. During that time, policymakers also failed to adapt CAFE  
443 standards to the increasing use of light trucks as private vehicles. As a result most progress in  
444 vehicle technology and fuel efficiency during that time was off-set by heavier and larger  
445 vehicles.

446           New EU standards may run the same risks as CAFE standards in the USA. Germany's  
447 government—likely reflecting the position of the country's automobile industry—has already  
448 attempted to delay implementation of the stricter 95g CO<sub>2</sub>/km standard from 2020 to 2024.  
449 Moreover, EU standards offer fewer incentives than US standards to raise the fuel efficiency of  
450 increasingly popular larger vehicles. In the EU more fuel efficient, lighter vehicles, are subject to  
451 stricter standards. In the US a lighter vehicle (with the same floor area as a heavier vehicle) can  
452 help improve a manufacturers overall CO<sub>2</sub> emissions rating.

453 Government incentives for the purchase of more fuel efficient cars with lower CO<sub>2</sub>  
454 emissions, such as special tax credits or no/lower annual registration fees, can help increase  
455 demand for those vehicles, but the overall volume of the programs is often too small or  
456 incentives are too little. For example, during the economic crisis in 2009 ‘cash for clunkers’  
457 programs in both countries were successful in replacing older less fuel efficient vehicles with  
458 newer more efficient cars. However, in spite of the total cost of \$7.5billion (Germany) and \$3  
459 billion (USA), the volume of cars bought under the two programs was too small to have a  
460 significant impact on the overall fuel economy of the vehicle fleet. Similarly, the CO<sub>2</sub> component  
461 of Germany’s annual vehicle registration fee is too small to have a significant impact on the  
462 overall vehicle fleet.

463 The analysis also shows that Germany achieved higher fuel economy of its vehicle fleet  
464 and greater reductions in CO<sub>2</sub> emissions from transport than the USA without fuel economy or  
465 CO<sub>2</sub> emission standards. This indicates that policies focusing on technological improvements can  
466 only be part of a policy package geared at reducing CO<sub>2</sub> emissions from transport. Technological  
467 improvements alone are prone to the potential rebound effect of heavier vehicles, larger engines,  
468 and greater car travel demand. Germany’s experience shows that public policies can also help  
469 reduce car travel demand while making walking, cycling, and public transport more attractive  
470 modes of transport.

471 Recent trends in travel demand and travel preferences among young adults may provide a  
472 window of opportunity for policies that promote walking, cycling, and public transport. Studies  
473 suggest that western European countries experience a ‘peak car’ phenomenon where overall car  
474 travel demand either increases very slowly, stagnates, or declines [55-57]. Young adults between  
475 20 and 30 years seem to lead this trend by driving less, owning fewer cars, and using other

476 modes of transport more often than previous generations [58]. Some studies also report  
477 stagnating or declining overall travel demand in the USA [55]. However, it remains to be seen if  
478 these trends continue once the US economy and employment rebounds from the 2009 crisis and  
479 if gas prices decline again. In any case, more attractive public transport service and better  
480 infrastructure for walking and cycling may increase future use of those modes in the USA,  
481 especially for those individuals who reduced their driving and shifted to alternatives means of  
482 travel in response to the economic crisis and high gasoline prices.

483         Germany's policies that promote walking, cycling, and public transport and restrict car  
484 use can provide lessons for the USA. However, policies from Germany cannot be imported  
485 wholesale, but have to be adapted to the US context. The most important lesson from Germany is  
486 the combination of 'push policies' that restrict car use and make it more expensive with 'pull  
487 policies' that make alternatives to the car more attractive. Some U.S. cities, such as Portland,  
488 Oregon or New York City, have adopted policies that promote public transport, walking, and  
489 cycling, as viable alternatives to the car. However, the biggest difference between Germany and  
490 the USA is that U.S. cities rarely implement measures that restrict car use by making it more  
491 costly, slower, and less convenient. Incentives for public transport, walking, and cycling can  
492 make car restraint measures more feasible and successful. Once walking, cycling, and public  
493 transport are viable options for some trips, it becomes politically easier to implement car restraint  
494 measures.

## 495         **6. ACKNOWLEDGMENTS**

496 The author would like to thank Carole Cook (US EPA), Venu Ghanta (US EPA), Günter  
497 Hörmandinger (European Commission), Andrea Hamre (Virginia Tech), Arne Jungjohann (Boell  
498 Foundation), Dominika Kalinowska (German Energy Agency), Uwe Kunert (DIW Berlin), Will  
499 Mallett (Congressional Research Service), Georg Maue (German Embassy), Michael Mehling  
500 (Ecologic), Peter Mock (ICCT), Bob Noland (Rutgers University), Helge Pols (German  
501 Embassy), Nadja Richter (German Ministry of Environment), and three anonymous reviewers.

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